

# Sircon for States

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**Regulate and Protect**



## Become a Sircon State

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Sircon is dedicated to keeping the insurance industry connected and supporting regulators' mission to protect the public.

For the last 25+ years, Sircon has partnered with states' Department of Insurance to support the oversight and regulation of each facet of the insurance industry.

Sircon for States offers a comprehensive compliance management solution designed to simplify the process of regulating the insurance industry.

With 19 Sircon States across the country, State Departments of Insurance find significant value from optimizing their regulatory processes.

Becoming a Sircon State not only streamlines your existing processes, but also provides highly efficient methods for industry stakeholders to manage their compliance responsibilities.



# With Sircon for States



## Education Requirements:

Define pre-license and continuing education rules for individuals

## Licensing:

Process license applications from agencies and individuals to verify compliance

## Ongoing Oversight:

Update regulations when new risks, technologies, and distribution strategies arise

## #1 across the industry

**47%**

of resident licensees are in Sircon states

**19**

state regulators utilize Sircon software as their system of record

**50%**

of licensing transactions go through Sircon



## Learn more about what's possible with Sircon for States

Tailored for state departments of insurance, our solution offers the flexibility to support your state's unique regulatory requirements, while simplifying the process of managing compliance and offering extensive online services for both consumers and the industry.

With our customizable modules, receive end-to-end oversight of the industry and keep consumers protected in the way that best suits your Department of Insurance.

# Regulatory Solutions for States

## Modules

Sircon for States provides a range of modules designed to manage the regulatory requirements of each facet of the industry. Choose the specific functionality required to seamlessly support the unique needs of your Department of Insurance.



## Modules

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### Licensing

Manage all insurance licensees in your state – including producers, agents, brokers, firms, business entities, adjusters, third-party administrators, pharmacy benefit managers, and more.

With just a few clicks, you can process applications and issue licenses, renew/reinstate licenses, review documents submitted by the licensee, and maintain licensee information.

Automatically send your licensing data to the State Producer Licensing Database (SPLD).

### Education

Efficiently manage pre-license and continuing education providers, courses, and instructors.

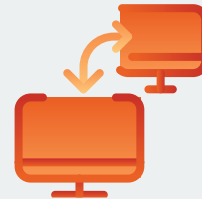
Maintaining course information through electronic processing makes applicant and licensee progress easy to track.

It's easier for licensees to track, too. Resident producers can easily view their transcripts and find all their course information online.



Comprehensive license management

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Electronic transaction processing

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Maintain state-specific education requirements

# Modules

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## Company Admissions

Manage all insurance stakeholders from one central location.

From basic contact information to detailed financial documents, we help you keep track of your state's admitted companies – including insurers, HMOs, purchasing groups, non-admitted surplus lines companies, and more. Insurers can also pay invoices, update their address, and submit document filings online.

## Regulatory Examination

Our web-based system is ideal for examiners working in the field. Track and maintain information on all financial and market conduct examinations no matter where you are.

Easily record deficiencies, responses, and penalty or restitution orders. Powerful enough to run management reports that track exam progress, status, costs, planning, and more.



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Track admitted companies



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Update company information



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Document exam results from anywhere

## Modules

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### Consumer Complaints

Manage consumer protection, swiftly address complaints, and keep your citizens safe.

Vertafore provides a state-branded online portal for consumers to submit and communicate with a state analyst to resolve their concerns.

For regulators, Sircon provides an efficient method to follow up on complaints and inquiries about agents, firms, carriers, or non-licensed entities.

Once the complaint is confirmed and closed, the information is sent to the national Complaints Database System (CDS).

### Enforcement Cases

Save time managing enforcement actions against non-compliant entities.

Track cases electronically from investigation to final disposition – including hearings, settlement agreements, consent orders, regulatory actions, and fines.

Once the case is closed, completed enforcement actions are automatically sent to the Regulatory Information Retrieval System (RIRS).



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Protect  
consumer



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Track  
investigations



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Digitally manage  
regulatory enforcement

## Modules

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### Revenue Tracking

Quickly and easily track payment information for a variety of receivables.

Using the Revenue Tracking module, invoices can be automatically generated for licenses, appointment billings and renewals, education course renewals, monetary penalties, late fees, and past due notices. Payments can be processed electronically through Sircon services.

### Taxes and Assessments

Track and manage tax filings and assessments for companies and surplus lines producers.

Add state-specific form names, fee schedules, and automatically send notices for past due filings. Integration with TriTech and SLAS are available.



Generate  
invoices

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Manage  
payments

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Customize for  
your state



## Benefits of Sircon for States

- Maintain information from one central location
- Integrations with NAIC, NIPR, and PDB for seamless licensing transaction processing
- Increased staff efficiency in daily operations
- Increased personnel productivity and bandwidth
- Reduction in the amount of paper processed by state staff
- Online portal for your consumers to submit complaints directly
- Improved customer service response time for licensing inquiries
- Increased adoption of electronic transactions
- Reduced processing time for license applications, renewals, and reinstatements
- Auto-approval of compliant non-resident applications
- Electronically receive payments for annual renewals, assessments, and penalties

**Interested in learning more?**

[Schedule a demo](#)



# Ready to take the next step?

**Contact us or call 800.444.4813**