

Disclosure Management

Sircon Disclosure Management is designed to give you insight into your advisor's outside business activities (OBA) and private securities transactions (PST), allowing you to identify potential conflicts of interest and make an approval decision.

Features you need

- **Simple advisor/rep portal**
Simple, step-by-step questionnaires to disclose activities.
- **Streamlined review process**
Review advisor/rep disclosures and provide your approval decision.
- **Simple advisor portal**
Step-by-step disclosure questionnaires.
- **Streamlined review process**
Review and approve/reject advisor disclosures.

Results you'll see

- Improved advisor experience for a stronger culture of compliance.
- 75% shorter turnaround times.
- Secure access to your OBAs and PSTs on demand.
- Reduced compliance risk with audit trails and flexible.



[Visit for more info](#)

or call 800.444.4813