Disclosure Management

Sircon Disclosure Management is designed to give you insight into your advisor’s outside business activities (OBA) and private securities transactions (PST), allowing you to identify potential conflicts of interest and make an approval decision.

Features you need

- Simple advisor/rep portal
  Simple, step-by-step questionnaires to disclose activities.

- Streamlined review process
  Review advisor/rep disclosures and provide your approval decision.

- Simple advisor portal
  Step-by-step disclosure questionnaires.

- Streamlined review process
  Review and approve/reject advisor disclosures.

Results you’ll see

- Improved advisor experience for a stronger culture of compliance.

- 75% shorter turnaround times.

- Secure access to your OBAs and PSTs on demand.

- Reduced compliance risk with audit trails and flexible.

Visit for more info or call 800.444.4813